

SCRO: A domain ontology for describing steel cold rolling processes towards Industry 4.0

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Abstract: This paper introduces the Steel Cold Rolling Ontology (SCRO) to model and capture domain knowledge of cold rolling processes and activities within a steel plant. A case study is set up that uses real-world cold rolling data sets to validate the performance and functionality of SCRO. This includes using the Ontop framework to deploy virtual knowledge graphs for data access, data integration, data querying, and condition-based maintenance purposes. SCRO is evaluated using OOPS!, the ontology pitfall detection system, as well as feedback from domain experts from Tata Steel.

Keywords: Industry 4.0; Steelmaking; Cold rolling; Ontology; Ontop

1. Introduction

The fourth industrial revolution, also known as Industry 4.0, is full of new concepts, technologies, and innovations with the goal to optimise, digitize, and autonomize industrial processes [1]. It is a vision where machines, products, and processes are connected intelligently and are able to derive meaning from data to make autonomous decisions.

Presently, large industrial machines follow rigid automation protocols which generate vast amount of data. This data is often not machine-understandable, and stored in data silos that are often not interconnected yet contain data that is semantically related [2]. A fundamental task to enable Industry 4.0 is to enrich data with semantics to make the data interoperable and machine-understandable. The steel industry is one of many manufacturing domains that are working towards this goal [3–5].

Meanwhile, ontologies have become a prominent methodology for knowledge modelling and capturing domain knowledge, as well as addressing and improving data semantics in various domains. By developing an ontology, we are in essence building a knowledge base within a specific domain [6,7]. In the domain of smart manufacturing, ontologies can play a key role as they are able to provide machine-understandable vocabularies and data exchange between different individuals and processes. Ontologies provide additional functionalities such as stream reasoning which infer new knowledge, and ontology-based data access which allows data to be queried without being physically integrated.

Cold rolling is one of many different steel-making processes within a steel factory. Rolling in general processes the greatest tonnage of metals than any other metal working technique [8]. The purpose of cold rolling is to compress steel to produce steel coils. During the cold rolling process, the material undergoes deformation, and is compressed by a pair of rolls that rotate in opposite directions under a heavy force. There is a gap between the two rolls that is smaller than the material, thus forcing the material to decrease in size as it passes through the rolls.

Due to strong forces being involved, these rolls are affected by roll wear where the roll service life and the quality of the product are significantly impacted [9]. To avoid this, the rolls are refurbished regularly, where the diameter of the rolls are marginally reduced

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40 to remove the worn surface. One long-term aim of our research is to use the semantically
41 interoperable data to optimise the life of the rolls, improving their total tonnage and
42 yield. In addition, accidents and anomalies that occur, such as overloading, spalling, and
43 incorrect grinding operation [10], can be avoided preemptively once achieving better
44 semantic interoperability.

45 The goal of this paper is to develop an ontology that focuses on modelling the cold
46 rolling processes that occur during steel-making. Thereby, this paper introduces the
47 Steel Cold Rolling Ontology (SCRO) that acts as a knowledge base for cold rolling pro-
48 cesses within a steel manufacturing plant. This includes the relevant systems, facilities,
49 hardware, software, and inventory of a cold rolling mill. To validate and evaluate the
50 usefulness and accuracy of the SCRO ontology, we perform a case study that aligns the
51 ontology with real-world data sets of a cold rolling mill provided by Tata Steel Europe¹.
52 In this case study, we exploit Virtual Knowledge Graphs (VKG) to access and query the
53 data sets to obtain valuable knowledge.

54 The remainder of the paper is structured as follows. In Section 2, we provide
55 a literature review that focuses on two key topics: ontologies for Industry 4.0, and
56 ontologies for the steel industry. We also introduce our selected design methodology of
57 ontology development. In Section 3, we describe in detail the SCRO Ontology, including
58 its classes and main concepts. In Section 4, we demonstrate the usefulness of the ontology
59 on an application that uses real-world data. In Section 5, we discuss the validation of the
60 SCRO Ontology to ensure that the knowledge is accurate. Finally, we reflect over our
61 work and end with a conclusion and future work in Section 6.

62 2. Literature Review

63 The W3C have developed a formal ontology language named The Web Ontology
64 Language (OWL)² to model concepts and relations within ontologies. OWL is a compo-
65 nent of SemanticWeb that allows for explicit representations of the meaning of terms
66 in vocabularies and the relationships between those terms. These representations and
67 their interrelations form an ontology. In the following subsections, we review relevant
68 existing OWL ontologies and their rule-based extensions.

69 2.1. Ontologies for Industry 4.0

70 There have been numerous ontologies developed in recent years to tackle and
71 achieve aspects of Industry 4.0. The Reference Architecture Model for Industry 4.0
72 (RAMI 4.0) [11], a model that highlights the fundamental requirements for achieving
73 Industry 4.0, has introduced the fundamental concept of an Asset Administration Shell
74 (AAS) as a way for storing and communicating data between assets. A core requirement
75 to enable the AAS concept is to enhance assets with rich data semantics and make them
76 interoperable. As a result, one research direction shifted towards ontology development
77 to capture domain knowledge and concepts to achieve this goal. In our review, we
78 structured the literature into three categories: *product-related* concepts, *process-related*
79 concepts, and *resource-related* concepts.

80 Firstly, when looking at product-related concepts, Vegetti et al. [12] developed the
81 PProduct ONTOlogy (PRONTO) to model *Complex Products* which consider different
82 abstraction levels of product concepts such as *Family* and *Variant*. This approach has
83 benefits and drawbacks. One benefit is that it extends conventional product structure
84 representations, and considers composition and decomposition structures of products
85 from a wide range of different manufacturing environments. One drawback is that there
86 is a lack of capability to refer to existing international standards related to the modelling
87 of product structure, processes, and features. Further research in this direction has
88 been lead by Panetto et al. [13] as they developed the ontological model ONTO-PDM

¹ <https://www.tatasteeleurope.com/ts/>

² <https://www.w3.org/TR/owl2-overview/>

89 which overcomes these shortcomings. This ontology uses the knowledge related to the
90 product technical data to formalize heterogeneous information that is scattered across
91 different organizations [13]. ONTO-PDM also incorporates different standardisation
92 initiatives, including the International Electrotechnical Commission (IEC) standards
93 and International Organization for Standardization (ISO) standards. Another example
94 of product-related concept modelling includes the MASON ontology, developed by
95 Lemaignan et al [14] to create a common semantic net for Industry 4.0. It models three
96 core concepts: *Entities*, *Operations*, and *Resources*, and specifies the product information
97 as *Geometric Entities*, *Raw Material*, and *Cost Entities*. Using the proposed semantic net,
98 they accurately link the product-related concepts with the description of manufacturing
99 process and resources.

100 Secondly, some ontologies focus on *resource-related* concepts within Industry 4.0.
101 *Resources* in this context are defined as the physical objects within an Industry 4.0
102 environment that are capable of executing a range of different operations. The MASON
103 ontology mentioned above also studies the notion of *Resources* and deconstructs it into
104 four sub-notions: *Machine-tools*, *Tools*, *Human Resource*, and *Geographical Resources*. The
105 modelling of resources enables estimations of total costs for certain manufacturing
106 activities. Additionally, Borgo and Leitão defines *Resource* as “an entity that can execute
107 a certain range of jobs, when it is available, as long as its capacity is not exceeded” in
108 [15]. The authors used the Java Agent Development Framework (JADE) framework
109 to implement their ontology as a part of a multi-agent control system, and concluded
110 that an ontology is a core requirement in handling heterogeneous data generated by
111 manufacturing control applications.

112 Finally, some ontologies address *process-related* concepts within Industry 4.0. These
113 processes are generally a linear sequence of activities in which raw materials undergo
114 some treatment such as assembly and integration before converting into the final product.
115 The Process Specification Language (PSL) Ontology [16] was developed by Grüniger
116 et al. to facilitate different methods of exchanging process information between manu-
117 facturing systems. Using PSL and first-order logic theories, the authors formalize the
118 concept of a *process*. This formalisation has been widely adopted in many different
119 domain applications such as process modelling and process monitoring [16]. Another
120 ontology that focuses on process-related concepts was developed by Cao et al. [17]
121 which formalises essential concepts and relationships related to condition monitoring.
122 Their ontology contains three sub-modules: *Manufacturing*, *Context*, *Condition Moni-*
123 *toring* which is used within a Cyber Physical System to enable a case study to model
124 real-time predictive maintenance. The same authors developed a new ontology named
125 *Manufacturing Predictive Maintenance Ontology (MPMO)* in [18] which uses Semantic Web
126 rule Language (SWRL) rules to enable ontology reasoning. Using a real-world data set,
127 this ontology is able to detect and predict possible anomalies within an Industry 4.0
128 manufacturing process.

129 2.2. *Ontologies for the Steel Industry*

130 In the steel industry, ontologies are used as an effective and intelligent knowledge
131 management tool for conceptual modelling and information integration. Leveraging the
132 strong modelling and reasoning capabilities of ontologies, process knowledge regarding
133 steelmaking is structured and inferred to facilitate decision making.

134 Developed as a core component of a Big Data Knowledge Management System
135 (BDAKMS), the ontology introduced in [19] is used to model domain knowledge of
136 steelmaking and enhance the usability and interoperability of BDAKMS. The developed
137 ontology is further used together with SWRL [20] rules to infer knowledge regarding the
138 demand of raw materials. In [21], a shared global supply chain ontology is designed to
139 manage the heterogeneous internal and external decision knowledge of steel companies.
140 Similar to the previous literature, semantic rules are also used to perform ontology
141 reasoning. The goal of ontology reasoning is to facilitate the decision making of business

142 strategies of steel companies. In this way, senior managers can use the ontology to
143 retrieve useful implicit decision knowledge such as pricing strategies, partner selection
144 strategies, and product development strategies.

145 Ontologies are also used for planning and scheduling of steel production. In [22],
146 an ontological approach is proposed for the goal of optimal planning and scheduling.
147 Within the proposed approach, a set of ontologies are integrated to form an ontological
148 framework. A core meta-ontology and different domain specific ontologies for primary
149 steelmaking are integrated with ANSI/ISA-S95 standard to construct the main body
150 of the framework. Another ontology is introduced in [23] to help with the conceptual
151 design of steel structures. During the ontology design phase, required knowledge
152 elements are identified using intelligent agents. The proposed ontology is reused in
153 other projects such as Agent-Based Collaborative Design of Light Industrial Buildings
154 (ADLIB) and Automated Agent Learning (AAL).

155 2.3. *Ontology Development Methodology*

156 Using an extension of the *eXtreme Design methodology* [24], The SCRO ontology is
157 designed using *Ontology Designing Patterns* (ODPs) [25]. We conclude that this design
158 approach offered numerous evident advantages for developing ontologies, including: a
159 faster ontology design process, more flexible design choices, improved interoperability
160 and ontology quality [26].

161 3. SCRO: Steel Cold Rolling Ontology

162 Most of the domain knowledge mentioned in this section was obtained from a case
163 study with Tata Steel, at the Cold Rolling Mill in the Port Talbot plant. SCRO models
164 the fundamental structure and operations of the rolling processes in the case study.
165 Although SCRO is initially designed for the processes and machines at Tata Steel, it
166 could potentially be reused by other steel manufacturers for knowledge modelling. In
167 this section, we describe the SCRO ontology in detail, beginning with the encoding and
168 classes.

169 3.1. *Coding*

170 SCRO was developed using the free, open-source ontology editor and framework
171 called Protégé [27]. We used the latest version to date, Protégé 5.5.0, that offers a unique
172 interfaces for creating and maintaining ontologies for intelligent systems. Protégé
173 supports the commonly used ontology language, OWL, which enables us to model
174 concepts, as well as their relations and attributes through classes, object properties,
175 and data properties [28]. Figure 1 displays the structure and the architecture of SCRO,
176 whereas Figure 2 displays the classes, object properties, and data properties.

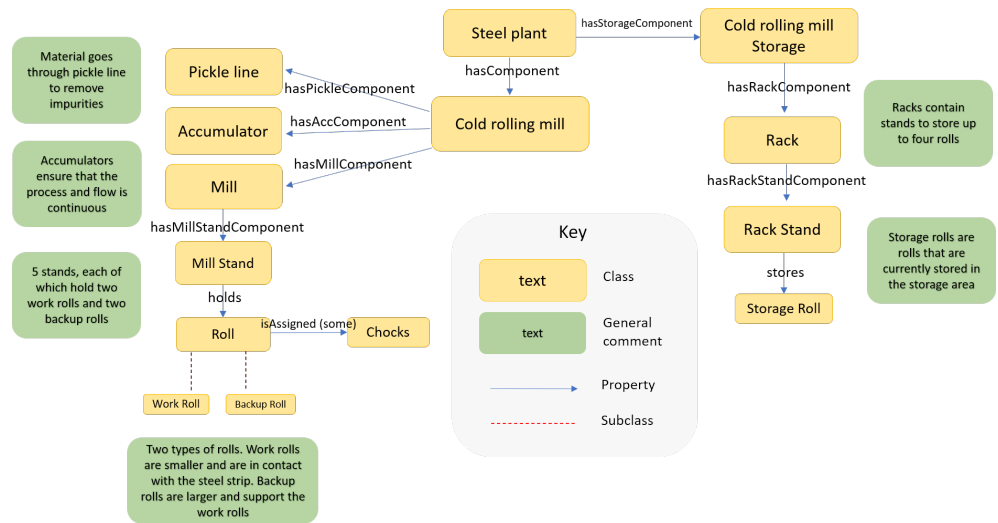


Figure 1. Structure of the SCRO Ontology

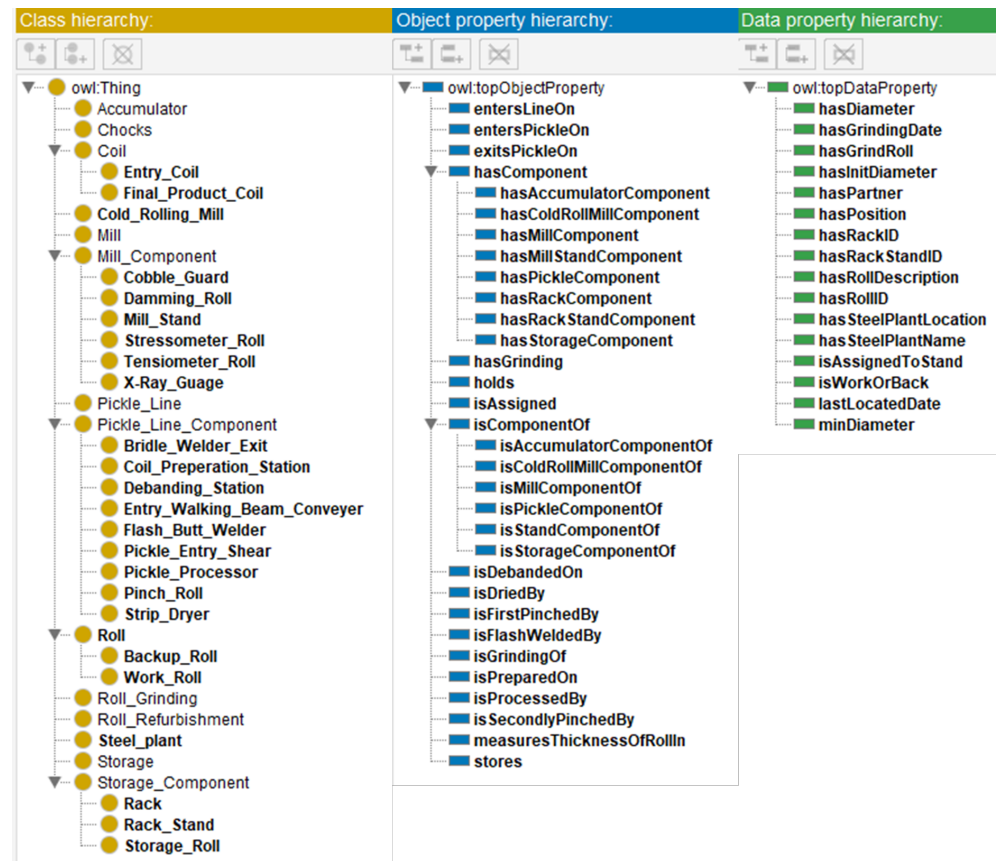


Figure 2. Classes, object properties and data properties of the SCRO Ontology

177 3.2. Reusing Existing Ontologies

178 An extensive amount of data within the domain of steel manufacturing is generated
 179 and read through sensors. Generally, these sensors run on timestamp data to record
 180 the continuous flow of dynamic data. Therefore, we have imported the *Time* ontology
 181 created by W3C that supports the use of timestamp data [29]. These are excluded from
 182 Figure 2 but play an important role in SCRO.

183 3.3. Classes

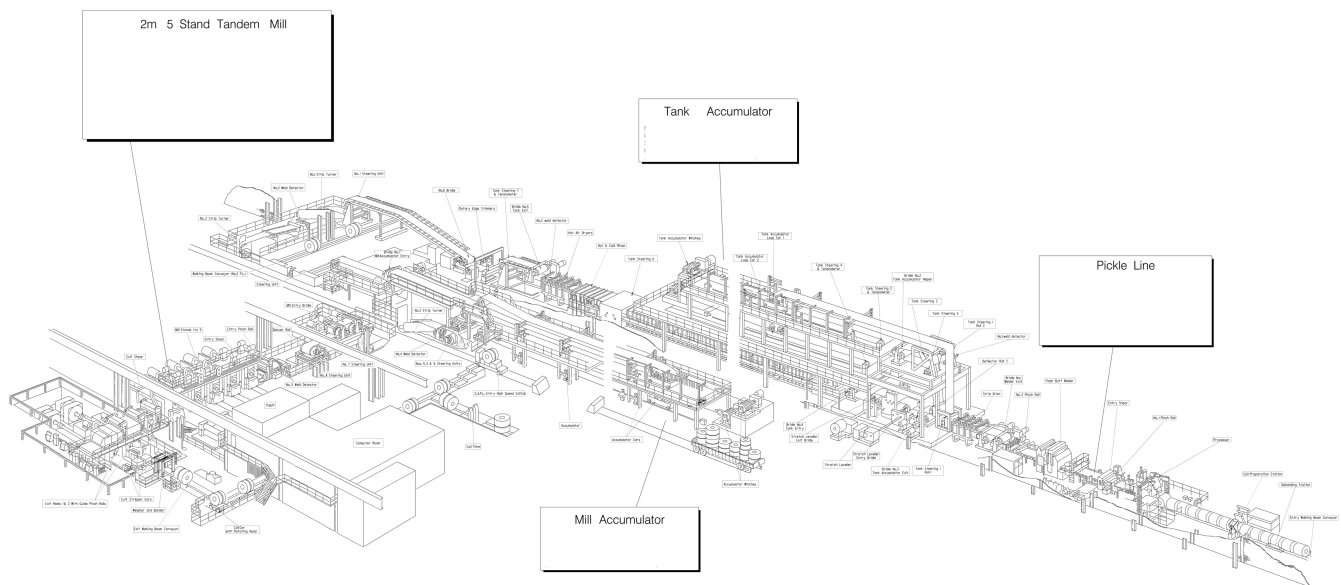


Figure 3. The big picture of the cold rolling processes at the Port Talbot plant provided by Tata Steel. Copyright © 2021 All Rights Reserved

184 There are many processes and components on the shop floor that are fundamental
 185 for cold rolling as depicted in Figure 3. We create classes for each one respectively.
 186 The cold rolling mill processes are divided into three sub-processes: the pickle line,
 187 accumulator, and the mill.

188 Firstly, the process of steelmaking creates undesirable oxidations on the material.
 189 To counter this, the material, *entry coil*, undergoes surface treatment on the pickle line.
 190 The process of Pickling cleanses the entry coil by using acid to eliminate impurities
 191 and oxidations, providing a smoother surface. The class *:Pickle_Line* denotes this
 192 process whereas the superclass *Pickle_Line_Component* contains the necessary pickle
 193 line components on the shop floor as subclasses. Table 1 defines these components.

194 Both the pickling and mill processes are continuous and run at different speeds.
 195 Often one of these processes is required to stop while the other is still in operation. For
 196 example, when introducing a new coil into the pickling process, the pickle line is paused
 197 to weld/stitch the new coil while the mill process is still running at a constant speed.
 198 An *Accumulator* between these two processes is able to facilitate such activities through
 199 movable rolls that are able to control the amount of material in that intermediate section,
 200 ensuring the whole cold rolling process to be continuous from beginning to end. The
 201 class *:Accumulator* denotes this process.

202 Finally, the material is passed through the mills where its thickness is reduced. The
 203 class *:Mill* denotes this process whereas the superclass *:Mill_Component* contains the
 204 necessary mill components on the shop floor as subclasses; these components are also
 205 defined in Table 1.

206 The rolls are fundamental components of the cold rolling process. The rolls are the
 207 physical entities that rotate to reduce the thickness of the steel trip. These are denoted
 208 by the superclass *:Roll* and its two nodes *:Work Roll* and *:Backup Roll*. These rolls are
 209 assigned some chocks which allow for rotation within a mill; these chocks are denoted
 210 as *:Chocks* in the ontology. In addition, we have included *:Storage Roll* which are rolls
 211 that are out of the mill and are in the storage area. This storage area is denoted by the
 212 class *:Storage*, and the superclass *:Storage_Component* contains the components of the
 213 storage as subclasses.

214 Finally, the ontology contains other classes such as *:Steel Plant*, *:Cold_Rolling_Mill*,
 215 *:Roll Refurbishment* and *Roll Grinding* which are briefly described in Table 1. Figure 4
 216 displays the hierarchy of all the classes, generated by the protégé tool.

Table 1. Description of SCRO classes.

SCRO Classes	Description
Accumulator	Manage the speed of the rolling processes to ensure flow is continuous
Chocks	Attached to rolls. Chocks contain bearings that allow rolls to rotate
Coil	Superclass of the material and final product
Entry_Coil	Denotes the steel strip that enters the cold rolling mill
Final_Product_Coil	The final product sold to customers
Cold_Rolling_Mill	Denotes the shop floor of the cold rolling mill
Mill	Process of the cold rolling mill where thickness of the steel strip is reduced
Mill_Component	Superclass of all Mill component
Cobble_Guard	Component that reduces chance of producing cobbles
Damming_Roll	Component that restrains the outward flow of coolants
Mill_Stand	Stand that fits two work rolls and two backup rolls
Stressometer_Roll	Measures the flatness of the steel strip
Tensiometer_Roll	Measures the tension of the steel strip
X-Ray_Guage	Measures the thickness of the steel strip
Pickle_Line	Process where the entry coil undergoes surface pickling
Pickle_Line_Component	Superclass of all Pickle component
Bridle_Welder_Exit	Mill exit equipment that the strip uses to exit the pickling process
Coil_Preparation_Station	Station where the entry coils are entered
Debanding_Station	Station where the entry coils are debanded
Entry_Walking_Beam_Conveyor	Conveyor where entry coils are first placed
Flash_Butt_Welder	Machine that presses together and welds the ends of the workpiece
Pickle_Entry_Shear	Machine that cuts rolls to desired size
Pickle_Processor	Processes the coil and minimizes the tendency for coils to break
Pinch_Roll	Machine that holds and moves the strip
Strip_Dryer	Removes excess water from the strip to prevent rusting
Roll	Superclass of the two types of rolls at a cold rolling mill
Backup_Roll	Larger roll that support a work roll during milling
Work_Roll	Smaller roll that rotates to reduced thickness of steel during milling
Roll_Grinding	Contain previous grinding data of rolls
Roll_Refurbishment	Process where rolls are sent to be refurbished
Steel_Plant	Denotes the whole steel plant
Storage	Section of the cold rolling mill where assets (e.g unused rolls) are stored
Storage_Component	Superclass of the Storage component
Rack	Contain stands for rolls to be stored
Rack_Stand	Store one storage roll
Storage_Roll	A roll that is not currently being used and stored away

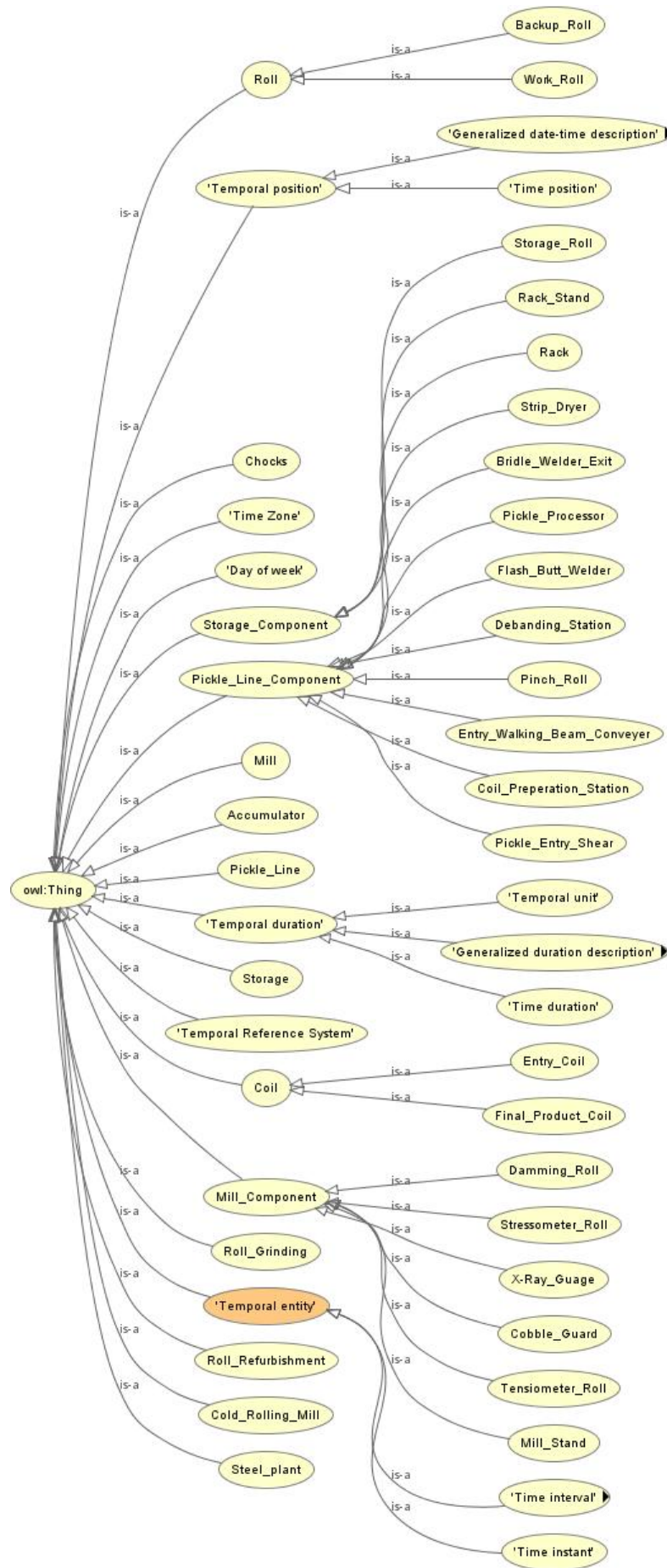


Figure 4. Hierarchy of all the classes in the SCRO Ontology.

217 3.4. Object and Data Properties

218 To semantically describe the relations between classes, it is important that we specify
219 the domain and ranges of the properties. These properties are clarified below:

- 220 • entersLineOn(object1, object2) where object1 is an *Entry_Coil* and object2 is an
221 *Entry_Walking_Beam*.
- 222 • entersPickleOn(object1, object2) where object1 is an *Entry_Coil* and object2 is a
223 *Pickle_Entry_Shear*.
- 224 • exitsPickleOn(object1, object2) where object1 is an *Entry_Coil* and object2 is a *Bri-*
225 *dle_Welder_Exit*.
- 226 • hasComponent(object1, object2) where object1 and object2 are left undefined as this
227 is the superclass for all hasComponents mentioned below.
- 228 • hasAccumaltorComponent(object1, object2) where object1 is a *Cold_Rolling_Mill*
229 and object2 is an *Accumulator*.
- 230 • hasColdRollMillComponent(object1, object2) where object1 is a *Steel_plant* and
231 object2 is a *Cold_Rolling_Mill*.
- 232 • hasMillComponent(object1, object2) where object1 is a *Cold_Rolling_Mill* and object2
233 is a *Mill*.
- 234 • hasMillStandComponent(object1, object2) where object1 is a *Mill* and object2 is a
235 *Mill_Stand*.
- 236 • hasPickleComponent(object1, object2) where object1 is a *Cold_Rolling_Mill* and
237 object2 is a *Pickle_Line*.
- 238 • hasRackComponent(object1, object2) where object1 is a *Storage* and object2 is a *Rack*.
- 239 • hasRackStandComponent(object1, object2) where object1 is a *Rack* and object2 is a
240 *Rack_Stand*.
- 241 • hasStorageComponent(object1, object2) where object1 is a *Steel_Plant* and object2 is
242 a *Storage*.
- 243 • hasGrinding(object1, object2) where object1 is a *Roll* and object2 is a *Roll_Grinding*.
- 244 • holds(object1, object2) where object1 is a *Mill_Stand* and object2 is a *Storage_Roll*.
- 245 • isAssigned(object1, object2) where object1 is a *Roll* and object2 are *Chocks*.
- 246 • The superclass *isComponentOf* which is the inverse of *hasComponent*, as well as all
247 of its subclasses.
- 248 • isDebandedOn(object1, object2) where object1 is an *Entry_Coil* and object2 is a
249 *Debanding_station*.
- 250 • isDriedBy(object1, object2) where object1 is a *Entry_Coil* and object2 is a *Strip_Dryer*.
- 251 • isFrstPinchedBy(object1, object2) where object1 is a *Entry_Coil* and object2 is a
252 *Pinch_Roll*.
- 253 • isFlashWeldedBy(object1, object2) where object1 is a *Entry_Coil* and object2 is a
254 *Flash_Butt_Welder*.
- 255 • isPreparedOn(object1, object2) where object1 is a *Entry_Coil* and object2 is a
256 *Coil_Preperation_Station*.
- 257 • isProcessedBy(object1, object2) where object1 is a *Entry_Coil* and object2 is a
258 *Pickle_Processor*.
- 259 • isGrindingOf(object1, object2) where object1 is a *Roll_Grinding* and object2 is a *Roll*.
- 260 • MeasuresThicknessOfRollIn (object1, object2) where object1 is a *X-Ray_Guage* and
261 object2 is a *Mill_Stand*.
- 262 • stores(object1, object2) where object1 is a *Rack_Stand* and object2 is a *Storage_Roll*.

263 Similarly with the data proprieties in the ontology:

- 264 • hasDiameter(object, datatype) where object is *Roll* and datatype is *xsd:double*.
- 265 • hasGrindingDate(object, datatype) where object is *Time instant* and datatype is
266 *xsd:date*.
- 267 • hasGrindRoll(object, datatype) where object is *Roll_Grinding* and datatype is *xsd:integer*.
- 268 • hasInitDiameter(object, datatype) where object is *Roll* and datatype is *xsd:double*.
- 269 • hasPartner(object, datatype) where object is *Roll* and datatype is *xsd:integer*.

- 270 • hasPosition(object, datatype) where object is *Roll* and datatype is xsd:string.
- 271 • hasRackID(object, datatype) where object is *Rack* and datatype is xsd:integer.
- 272 • hasStackStandID(object, datatype) where object is *Rack_Stand* and datatype is
273 xsd:integer.
- 274 • hasRollDescription(object, datatype) where object is *Storage_Roll* and datatype is
275 xsd:String.
- 276 • hasRollID(object, datatype) where object is *Roll* and datatype is xsd:integer.
- 277 • hasSteelPlantLocation(object, datatype) where object is *Steel_Plant* and datatype is
278 xsd:String.
- 279 • hasSteelPlantName(object, datatype) where object is *Steel_Plant* and datatype is
280 xsd:String.
- 281 • isAssignedToStand(object, datatype) where object is *Roll* and datatype is xsd:integer.
- 282 • isWorkOrBack(object, datatype) where object is *Roll* and datatype is xsd:string.
- 283 • lastLocatedDate(object, datatype) where object is *Time instant* and datatype is
284 xsd:dateTime.
- 285 • minDiameter(object, datatype) where object is *Roll* and datatype is xsd:double.

286 4. Application

287 4.1. Data set

288 We test and evaluate SCRO through a real-world industrial application. Within this
289 industrial application, a collection of real-world data sets have been provided by Tata
290 Steel. These data sets come specifically from their five stand Tandem Cold Rolling Mill
291 at their Port Talbot plant.

292 Firstly, static data related to the rolls, roll storage, and roll refurbishment have
293 been collected. These data sets are stored in a database where the values of these rolls
294 are always updated manually from someone at the plant. This data is considerable
295 in quantity and located in different tables within their database. For our research, we
296 focused on three specific tables: the *Roll*, *Roll Grinding* and *Roll Storage* tables. These
297 tables contain many fields of data that we have chosen not to include in SCRO. Instead,
298 we only include the fields we acknowledged as the core fields such as *RollID* and *diameter*
299 but not *SupplierID*. The domain experts from Tata Steel agreed with this approach. Table
300 2 describes the tables in the database, including the fields, data types and descriptions.

301 Secondly, the data sets also contain dynamic data from the cold rolling mills that
302 are read through sensors and stored in a database. These sensors record the condition of
303 rolls in short intervals, thus, creating huge amounts of industrial data. The data includes
304 the chemistry of the rolls, temperature, pressure, and much more.

Table 2. Description of all three tables from the data sets.

Table and fields	Data type	Description
Rolls	Table	Contains static data relevant to the Rolls
Roll_ID	Integer	Unique identifier of the roll. Primary Key
Diameter	Double	Stores the value of the diameter of the roll.
Position	String	<i>Top</i> or <i>Bottom</i> to denote their position in mill
Partner_ID	Integer	Unique identifier of the roll's partner
Work_Backup	String	Identifier to specify whether a roll is a work or backup roll
Last_Loc_Date_Time	Date	Timestamp of the date when the roll was last located
Last_Stand_ID	Integer	The last stand this roll was placed in
Roll_Grinding	Table	Table that stores the previous grindings of each roll
Roll_ID	Integer	Non-unique identifier to specify which roll
Diameter	Double	Stores the value of the diameter of the roll
Grind_date	Date	Timestamp of the date when that roll was grinded
Stand_ID	Integer	The last stand this roll was placed in
Roll_Storage	Table	Table that stores the data of rolls that are currently not in use
Rack_Location	Integer	Non-unique identifier of the location of the racks
Single_Rack_ID	Integer	Unique identifier of the rack
Roll_ID	Integer	Unique identifier of the roll that is stored on a rack
Status_description	String	The status of the roll, i.e. it's a new roll or damaged roll
Actual_Diameter	Double	Stores the value of the diameter of the roll

305 Note: these tables are not interconnected but contain fields that are semantically
306 related. For example, *Roll_ID* appears in all three tables. To effectively use the data,
307 integration is required. However, it can be costly to join, clean, and homogenize the
308 data. To avoid this, in recent years, VKG have been developed as a paradigm for data
309 integration and access by exploiting data virtualization [2]. This is achieved by creating
310 graphs on top of relational databases where the data is not physically moved to another
311 database and instead kept and viewed at a virtual level [30]. virtualization is achieved by
312 creating an ontology, and linking the data sources to the ontology via *Mappings*. These
313 mappings enable the ability to query data at a virtual level without paying the cost of
314 integration. Numerous applications have been developed to support the VKG approach.
315 Some examples include Mastro [31], Morph [32], and Ontop [30]. For our approach, we
316 have adopted the Ontop framework.

317 4.2. Ontop Framework

318 The Ontop Framework³ is an open-source VKG (previously known as Ontology-
319 based Data Access) framework developed by the Free University of Bozen-Bolzano. We
320 have chosen Ontop over the other VKG approaches as Ontop supports all the W3C
321 languages and recommendations including RDF, OWL, SPARQL, R2RML, and SWRL
322 [33]. Additionally, it supports widely used standards including: (1) Ontologies: Ontop
323 supports *OWL 2 QL* ontology language which runs on description logics; (2) Mappings:
324 Ontop supports its own *Ontop Mapping Language* as well as the W3C recommendation
325 *R2RML mapping language*; (3) Data Source: Ontop supports the major commercial and free
326 structured databases such as *MySQL*, *H2*, and *PostgreSQL*; (4) Querying: Ontop supports
327 the latest version of the *SPARQL* querying language, which includes many features such
328 as aggregation and negation [34].

329 4.3. Mappings

330 Mappings are created to link ontology classes and properties with data from the
331 relational data sources to produce RDF triples. R2RML is the standard mapping language
332 used in the semantic web [35]. For our mappings, as mentioned above, we used the
333 Ontop mapping language which is fully interoperable with R2RML [33].

334 Mapping engineering is considered a difficult and time-consuming activity that
335 requires strong knowledge of not only the domain of interest, but also the rigid struc-
336 ture of databases and their schemas. Presently, there are several contributions working
337 towards this direction to automate the process. There are two main approaches to map-
338 ping engineering. The first is using Mapping Bootstrappers (MB) which automatically
339 generate a mapping for a data source [2]. These mappings follow a set of rules based
340 on the W3C Direct Mapping specification to generate RDF graphs [36]. Ontop boot-
341 strapper and BootOX [37] are two examples of existing MBs. A benchmark suite named
342 Relational-to-Ontology Data Integration (RODI) [38] has been developed to evaluate
343 and compare MBs. Using an MB has both benefits and drawbacks. The key benefit is
344 that it is fast and automatic; whereas the biggest drawback is that it lacks flexibility
345 when having numerous data sources as the generated vocabulary becomes restricted to
346 data-source specific data. The second approach is to use mapping editors to manually
347 write mappings. For our approach, we manually wrote our mappings using a text editor
348 that is available in the Protégé IDE.

³ <https://ontop-vkg.org/>

Mapping ID: workRollsMapping

Target (Triples Template):

```

:roll_{roll_id} a :Work_Roll ; :hasPosition {position} ; :hasRollID {roll_id} ; :hasDiameter {diameter} ;
:hasPartner {partner_id} ; :isWorkOrBack {work_backup} ; :isAssignedToStand {last_stand_id} ;
:lastLocatedDate {LAST_LOC_DATE_TIME} .

```

Source (SQL Query):

```

SELECT roll_id, position, diameter, partner_id, work_backup, LAST_LOC_DATE_TIME, last_stand_id
FROM rolls
WHERE work_backup = 'W' and LAST_LOC_DATE_TIME >= '2020-01-10' and LAST_LOC_DATE_TIME
<= '2020-01-17'

```

SQL Query results:

ROLL_ID	POSITION	DIAMETER	PARTNER_ID	WORK_BACKUP	LAST_LOC_DA...	LAST_STAND_...
1627	T	585.9	1628	W	2020-01-10 14:...	6
1675	T	553.12	1674	W	2020-01-10 14:...	3
79	T	602.57	337	W	2020-01-11 07:...	2
337	B	602.59	79	W	2020-01-11 07:...	2

Execute the SQL query (100 rows)

Figure 5. Ontop Mapping for work rolls.

349 Figure 5 shows a mapping between the *Work_Roll* class in SCRO and the *Rolls* table
350 in the SQL database. The bottom half of the figure illustrates the source, in the form of
351 an SQL query that allows us to specify and filter the data we want to map. Like with all
352 SQL queries, we use the *SELECT* clause to select the necessary fields from the database,
353 followed by the *FROM* clause to select the table name. Finally, we use the *WHERE* clause
354 to refine the query. As seen in Figure 5, we are interested in the *roll_id*, *position*, *diameter*,
355 *partner_id*, *work_backup*, *last_loc_date_time*, and *last_stand_id* values from the *rolls* table
356 where the *work_backup* field is 'W' which denotes work rolls. We use the *AND* clause to
357 further refine the query to restrict the *last_loc_date_time* timestamp value to a seven day
358 period. We can then click the "Execute the SQL query" provided by the Ontop Mappings
359 plugin in Protégé to print and verify the results of the query. To conclude, the SQL query
360 returns all work rolls that were last located between the 10th-17th of January 2020.

361 Secondly, we create a mapping *target* which maps the selected fields from the
362 database onto the classes in the SCRO ontology. The target section is written using
363 Turtle-like syntax⁴. The first part *:roll_{roll_id}* is a variable name of the individual,
364 and the subject of the RDF triples being generated. Here, we used the primary key
365 *roll_id* from the SQL query to create a unique IRI for each individual roll. For example,
366 the roll with *roll_id* of 500 in the database will be named *roll_500*. The second part
367 *a :Work_Roll* specifies that this individual and RDF triple will be an instance of the
368 *Work_Roll* class, followed by a semi-colon. Note, by using a semi-colon instead of a
369 fullstop, Ontop is able to map numerous fields from the SQL query to the data properties
370 in the ontology without having to specify the initial subject and class each time. The
371 syntax for these mappings are shown in Figure 5. For example, *:hasPosition {position}*
372 implies *:hasPosition* is a data property from the ontology where the value of this property
373 is mapped to the *{position}* field from the SQL source.

374 Similarly, we have a comparable mapping for the backup rolls. The key differ-
375 ence is the *:roll_{roll_id} a :Work_roll* becomes *:roll_{roll_id} a :Backup_roll* and the
376 *work_backup* field in the SQL *WHERE* clause is set to equal 'B'.

⁴ <https://www.w3.org/TR/turtle/>

<p>Mapping ID: StorageRollsMapping</p> <p>Target (Triples Template):</p> <pre>storage_roll_(roll_id) a:Storage_Roll ;hasRackID (RACK_UPPER_LOCATION2) ; hasRackStandID (SINGLE_RACK_ID) ;hasRollID (ROLL_ID) ;hasRollDescription (STATUS_DESCRIPTION) ;hasDiameter (ACTUAL_DIAMETER) ;isWorkOrBack (WORK_BACK).</pre> <p>Source (SQL Query):</p> <pre>SELECT RACK_UPPER_LOCATION2, SINGLE_RACK_ID, ROLL_ID, STATUS_DESCRIPTION, ACTUAL_DIAMETER, WORK_BACK FROM STORAGE WHERE roll_id != 0</pre>	<p>Mapping ID: rollGrinding</p> <p>Target (Triples Template):</p> <pre>roll_grind_(roll_id)_at_diameter_(diameter) :hasGrindRoll (roll_id) ;hasRollID (roll_id) ; isAssignedToStand (stand_id) ;hasDiameter (diameter) ;hasGrindingDate (grind_date).</pre> <p>Source (SQL Query):</p> <pre>SELECT roll_id, diameter, grind_date, stand_id FROM roll_grinding WHERE GRIND_DATE > '2019-11-10' and GRIND_DATE < '2019-11-17'.</pre>
--	--

Figure 6. Ontop Mapping for Grindings and Storage Rolls.

377 Figure 6 depicts two other mappings. The mapping on the left manages and links
 378 the SCRO ontology with the *roll_storage* data set, whereas the mapping on the right
 379 manages historical grinding values of rolls from the *roll_grinding* data set.

380 4.4. SPARQL

381 We use SPARQL⁵ to query the data for condition-based maintenance of rolls and
 382 information retrieval purposes. SPARQL is a well known querying language within
 383 the semantic web. The difference between SPARQL and SQL is that SQL queries on
 384 structured databases, whereas SPARQL queries on RDF triples [35]. As described above,
 385 the RDF triples are generated by the Ontop mappings that are depicted in Figure 5 and
 386 Figure 6, which enable us to query the data with SPARQL.

387 There are applications being developed to aid the assistance of SPARQL query for-
 388 mulation. An example includes the OptiqueVQS tool [39], which provides an interactive
 389 interface that generates components to build SPARQL queries. However, we decided
 390 to write our SPARQL queries manually using a text editor provided by the Protégé
 391 software. Below are some queries that we developed to query the data.

Listing 1: Diameter values which appear in more than two rolls.

```
392
393 PREFIX : http://www.semanticweb.org/sadee/ontologies/2021/1/SCRO#
394 PREFIX time: http://www.w3.org/2006/time#
395
396
397 SELECT ?diameter
398 WHERE {
399     ?roll :hasDiameter ?diameter .
400     MINUS {
401         ?roll :hasGrindRoll ?grind .
402     }
403 }
404 group by ?diameter
405 having (count(?diameter) > 2)
406
```

408 Listing 1 is a query that outputs the diameter values that have three or more rolls
 409 that share that diameter. Rolls in operation are always paired with other rolls that have
 410 the same diameter value, thus, each diameter should appear twice in the rolls data set.
 411 In contrast, rolls from the storage data set have yet to be paired. By limiting our search
 412 to only return diameter values that appear three or more times, this type of query can be
 413 used to discover rolls that have matching diameter values to other rolls from either data
 414 set. Given a scenario where a roll gets damaged, we can use this query to see if there are
 415 other rolls in both the storage data set and roll data set that contain the same diameter of
 416 the damaged roll.

417 To construct this query, it is a requirement to specify the *prefixes* of the ontologies
 418 we wish to use. As shown in the first two lines of Listing 1, and for most of our queries,

⁵ <https://www.w3.org/TR/sparql11-overview/>

419 we have declared two prefixes: an empty prefix to denote our SCRO ontology and a *time*
420 prefix to denote the time ontology that we have imported.

421 Then the main body of a SPARQL query is structured similarly to an SQL query.
422 We start the query with the *Select* clause to select the fields we are interested in. In SQL,
423 this would be one or more fields from a specific table. In SPARQL, we simply enter a
424 variable name that will hold our results. Note that all variables begin with a question
425 mark. As shown in Listing 1, we have chosen to select a variable called *?diameter* to
426 denote the result of the SPARQL query will be related to the diametric value of the rolls.
427 Then, we use the *WHERE* clause to condition our results. In our query, we specify that
428 we are interested in the RDF triples whose subject contain the property *:hasDiameter*,
429 where the *:hasDiameter* property can be any value. This subject is then stored in the *?roll*
430 variable, and the actual *:hasDiameter* property values are stored in the *?diameter* variable.
431 The *Minus* clause removes the subjects that also contain the *:hasGrindRoll* property as
432 we are not interested in the historical rolls grindings data that previously contained this
433 diameter. We then use “*Group by*” which creates columns for the fields we have selected.
434 Generally, these will always be the same variables in our *Select* clause. In this example,
435 we are only printing out the diameter variable.

Execution time: 432ms. Solution mappings returned: 1.

diameter
"572.8"^^double

Figure 7. SPARQL result from Listing 1.

436 Figure 7 displays the results of this SPARQL query. The results show that 572.8 is
437 the only diameter value that has three or more rolls that were last located between the
438 10th-17th of January 2020. We create another query to print out these rolls in Listing 2.

Listing 2: All rolls that have the diameter of 572.8

```

439 PREFIX time: http://www.w3.org/2006/time#
440 PREFIX : http://www.semanticweb.org/sadee/ontologies/2021/1/SCRO#
441
442 SELECT ?roll ?rollid ?partner ?diam
443 WHERE {
444   ?roll :hasRollID ?rollid .
445   ?roll :hasDiameter ?diam .
446   OPTIONAL {
447     ?roll :hasPartner ?partner .
448   }
449   MINUS {
450     ?roll :hasGrindRoll ?grind .
451   }
452   FILTER (?diam = "572.8"^^xsd:double)
453 }
454 GROUP BY ?roll ?rollid ?partner ?diam
455
456
457

```

459 Listing 2 is a query written to display all the rolls that have the specific diameter of
460 572.8. Similarly, we first select the ontologies we wish to use by declaring their prefixes.
461 These are identical to our previous query. This time, however, our *Select* and *Group By*
462 clauses contain the variables *?Roll*, *?Rollid*, *?partner*, and *?diam* which will be the columns
463 containing our results. Once more, we use the *Where* clause to filter our results.

464 We created the variable *?roll* to store all the subjects that contain both the *:hasRol-*
465 *IID* and *:hasDiameter* properties. The value of these properties are not specified and

466 thereby can be any value. Each of these ?roll subjects may contain the optional property
 467 :hasPartner, but must not contain the :hasGrindRoll property.

468 Then, we filtered the ?diam value to only return rolls that contained the diameter
 469 value of 572.8 which was the result from the first SPARQL query in Listing 1. Figure
 470 8 displays the query result. Here we can see that roll_1678 and roll_1679 are partners
 471 that contain the diametric value of 572.8. We can also see that there is a roll in storage
 472 with ID of 4631 that has the same diametric value and has no assigned partner. This type
 473 of query can be used to identify replacement rolls in case a roll gets damaged or needs
 474 replacing. Storage roll data is stored separately from active roll data, so this query skips
 475 the need for integration.

Execution time: 1.32s. Solution mappings returned: 3.

SPARQL results		SQL translation	
roll	rollid	partner	diam
roll_1678	1678	1679	"572.8""double
roll_1679	1679	1678	"572.8""double
storage_roll_4631	4631		"572.8""double

Figure 8. SPARQL Result from Listing 2.

476 5. Ontology Validation

477 Ontology validation is a fundamental requirement when developing ontologies.
 478 It is essential to ensure that the quality of an ontology is adequate and the knowledge
 479 representation is accurate. There are many ways to validate ontologies; examples
 480 include task-based validation, criteria-based validation, data driven validation and
 481 expert knowledge validation [40]. In addition, a well known ontology validation tool
 482 known as "Ontology Pitfall Scanner" (OOPS!) [41] has been developed to validate
 483 ontologies by detecting common pitfalls aligned to a dimension classification developed
 484 in [42]. We use a combination of these approaches to validate SCRO.

485 5.1. Ontology Pitfall Scanner

486 Different pitfalls have different impacts and importance. Because of this, OOPS!
 487 categorises the evaluated results into three different levels: *critical*, *important* and *minor*.
 488 When evaluating SCRO, OOPS! displayed zero critical pitfalls, two important pitfalls,
 489 and a handful cases of minor pitfalls. The two important pitfalls are results from the P11
 490 specification "missing domain or range in properties". These include our object properties
 491 "hasComponent" and "isComponentOf". However, according to [43], when using OWL, it is
 492 best practice not to specify the domain and ranges of superclasses but instead mention
 493 them in their respected subclasses. This is because the domain and ranges in OWL
 494 should not be viewed as constraints as this may cause unexpected classification and
 495 side effects [43], but rather viewed as *axioms* for reasoning. As the result of this, we
 496 have concluded to explicitly not specify the domain and ranges of these properties,
 497 but have included the domain and ranges of all the subclasses of these properties. For
 498 example, the object property *hasComponent* does not include a domain and range, but its
 499 subclass *hasPickleComponent* contains the domain *Cold Rolling Mill* and the range *Pickle*
 500 *Line*. On the other hand, *Minor* pitfalls include some elements missing annotations, or
 501 not explicitly declaring the inverse relationships of such object properties. These minor
 502 pitfalls do not affect the usability and consistency of the ontology and thus, remain as
 503 low-priority future changes.

504 5.2. Expert Knowledge Validation

505 As this work is linked closely with industry, we have validated our ontology with
 506 knowledge experts from Tata Steel. We set up a demonstration where we presented the
 507 SCRO Ontology to the domain experts where we received positive verbal feedback and
 508 small suggestions that have been implemented.

509 6. Conclusions

510 To conclude, this paper presents a novel Steel Cold Rolling Ontology that models
511 and structures domain knowledge of cold rolling processes and activities within a steel
512 plant. The purpose of the ontology is to improve data semantics and interoperability
513 within the domain of smart manufacturing, which are the first steps towards achieving
514 Industry 4.0. To our knowledge, this work is the first to develop an ontology for the cold
515 rolling processes within a steel plant. The domain knowledge we have captured comes
516 primarily from a case study with Tata Steel of their Port Talbot plant in the UK. We focus
517 on capturing the knowledge for the Pickle line, Accumulators, and Mill sub-processes
518 which are core to a cold rolling mill.

519 The ontology was developed using the eXtreme Design Methodology which in-
520 cludes using Ontology Design Patterns. We set up a case study that used real-world cold
521 rolling data sets that were provided by the domain experts which validated the perfor-
522 mance and functionality of SCRO. These data sets included roll data, roll refurbishment
523 data, and roll storage data, all of which were in different tables and not integrated. We
524 used the Ontop framework to deploy virtual knowledge graphs for data integration,
525 data access, data querying, and condition-based maintenance purposes. SCRO was
526 evaluated by both the ontology pitfall detection system *OOPS!* and domain experts
527 from Tata Steel. *OOPS!* confirmed that there were no critical errors or inconsistencies
528 in SCRO, and the domain experts confirmed that the knowledge in SCRO was uniform
529 and accurate.

530 The domain knowledge encoded in SCRO is aligned with the processes and assets
531 from the Port Talbot plant, which may differ from other plants from other companies. A
532 key future goal will be to look at more cold rolling plants and compare any differences
533 in processes and machinery to generalize the ontology, and add flexibility. Another
534 future goal is to enhance the logic axioms for formalization of the knowledge. Presently,
535 we have only mentioned basic axioms that show the relationships between classes
536 and their properties. This paper does not include any logical constraints or logical
537 connectives, whereas the ontology currently contains a few constraints, such as work
538 rolls and backup rolls classes being disjoint. One future goal is to finish developing a full
539 set of constraints for SCRO classes and properties. Finally, another future goal is to use
540 SWRL rule reasoning techniques together with SCRO to perform rule-based reasoning
541 for predictive maintenance purposes.

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543 S.Beden.; validation, S.Beden., Q.Cao. and A.Beckmann.; formal analysis, S.Beden.; investigation,
544 S.Beden.; resources, S.Beden.; data curation, S.Beden.; writing—original draft preparation, S.Beden.
545 and Q.Cao.; writing—review and editing, S.Beden. and Q.Cao. and A.Beckmann.; visualization,
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